#4.

PTO/SB/08A (10-96)

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known				
Application Number	09/976,243			
Filing Date	October 15, 2001	·· <u> </u>		
First Named Inventor	Doron HANDELMAN			
Group Art Unit				
Examiner Name				
Attorney Docket Number	HANDELMAN1A			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	4,626,076		CHEMLA	12-02-1986	
	AB	5,452,115		TOMIOKA	09-19-1995	
	AC	5,867,289		GERSTEL et al	02-02-1999	
	AD	6,108,112		TOUMA	08-22-2000	
				,		

FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials* No.¹	Foreign Patent Number		Name of Patentee or	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
	L							

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Initials* No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: HANDELMAN1A

In re Application of:

Doron HANDELMAN et al

Appln. No.: 09/976,243

Filed: January 7, 2002

January 7, 2002

January 7, 2002

For: OPTICAL SWITCHING APPARATUS ...)

INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner for Patents Washington, D.C. 20231

Sir :

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above- identified application, and any other application relying on the filing date of the above-identified application or cross- referencing it as a related application.

- [X] 1. This IDS should be considered, in accordance with 37 CFR \$1.97, as it is filed:
- [X] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- $\left[\ \right]$ B. before the mailing date of a first office action on the merits.
- [] C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below) or paid the necessary fee (box "ii" below).

C. ...

- [] i. Counsel certifies that, upon information and belief, each item of information listed herein was either
 - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
 - [] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in \$1.56(c) more than three months prior to the filing of this IDS.
- [] ii. A check (check no. _____) for the fee set forth in \$1.17(p), presently believed to be \$180, is enclosed.
- [] iii. Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in \$1.17(p), presently believed to be \$180.
- [] D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant(s) petitions under 37 CFR §1.97(d) for consideration of this IDS. A check (check no. _____) for/ Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in §1.17(i), presently believed to be \$130 is enclosed. Counsel certifies that, upon information and belief, each item of information listed herein was either
 - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
 - [] (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any

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individual designated in §1.56(c) more than three months prior to the filing of this IDS.

[X] 2. In accordance with 37 CFR §1.98, this IDS includes a list (e.g., form PTO-1449) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

[] A. Document(s)	. is	(are)	deemed
substantially cumulative to document(s)			, and,
in accordance with §1.98(c), only a copy of eac	h c	f the	latter
documents is enclosed.			

[] B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

Applicant(s) identifies these documents by attaching hereto copies of the forms PTO-892 and PTO-1449 from the files of the prior application(s) or a fresh PTO-1449 listing these documents, and request that they be considered and made of record in accordance with \$1.98(d). Per 37 CFR \$1.98(d), copies of these documents need not be filed in this application.

- [] 3. Documents are not in the English language. In accordance with §1.98(c), Applicant(s) states:
 - [] An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed.
 - [] A concise explanation of the relevance of documents is found in the attached International search report (see reply to Comment 68 in the preamble to the final rules; 1135 OG 13 at 20).
 - [] A concise explanation of the relevance of document(s) _____ is set forth as follows:

In re of: HANDELMAN=1A

Say Comment

[] A concise explanation of the relevance of document(s) ____ can be found on page(s) __ of the specification.

- [] A concise explanation of document(s) ______
 can be found on the attached sheet.
- [X] 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).
- [] 5. Other information being provided for the examiner's consideration follows:
- 6. In accordance with 37 CFR §§1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK

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